

Mark East

Angeles, California 90067-3024

(310) 788-5000

FAX (310) 788-5100

Direct Dial (310) 788-5056

E-Mail: fthys-doucet@oppenheimer.com

Amsterdam

Brussels

Geneva

Los Angeles

Minneapolis

New York

Orange County

Paris

Saint Paul

Silicon Valley

OCT 11 2002

FAX COVER LETTER

Date : October 11, 2002

Completed by : Florence Thys-Doucet/ (310) 788-5056

TO	COMPANY	PHONE NO.	FAX NO.
Latrice Bond	U.S. Patent Office		703/308-6916

From : Florence Thys-Doucet - Intellectual Property Paralegal Writer's Direct Dial : (310) 788-5056

Re : Re: Serial No. 09/558,453
Our Ref.: 15930-18

Comments : Dear Ms. Bond:

Attached is a copy of the CPA which was filed on 2/12/02 per your request. I have also attached a copy of the postcard per your request. Please do not hesitate to call if you have any questions.
Florence

THIS FACSIMILE CONTAINS CONFIDENTIAL INFORMATION INTENDED ONLY FOR THE USE OF THE ADDRESSEE(S) NAMED ABOVE AND MAY CONTAIN INFORMATION THAT IS LEGALLY PRIVILEGED.

If you are not the addressee, or the person responsible for delivering it to the addressee, you are hereby notified that reading, disseminating, distributing or copying this facsimile is strictly prohibited. If you have received this facsimile by mistake, please immediately notify us by telephone and return the original message to us at the address above via the Postal Service (we will reimburse postage). Thank you.

Originals: ☐ Not being sent Sent by: ☐ Post Office ☐ Messenger ☐ Air Courier

**YOU SHOULD RECEIVE ___ PAGE(S) INCLUDING THIS PAGE.
IF THE TRANSMISSION IS INCOMPLETE, PLEASE CALL (310) 788-5000 AS SOON AS POSSIBLE.**

PATENT

OCT 11 2002

Client Name & Docket No.: Allen & Gledhill

15939-18

Title: Spindle Motor With an Aerodynamic & Hydrodynamic...

Applicant: Liu et al.

Ser. #/Pat. #: 09/55,453

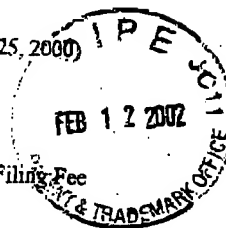
Filing Date: April 25, 2000

Please acknowledge receipt of:

☒ CPA Application

☒ Check No. 24638 in the Amount of \$740.00 for the Filing Fee

☒ Return Postcard



Mailed: February 12, 2002

By: Florence Thys-Doucet

RECEIVED

FEB 21 2002

OWD

DOCKETED

FEB 22 2002

OWD-LA

PATENT

Client Name & Docket No.: Allen & Gledhill 15939-18

Title: Spindle Motor With an Aerodynamic & Hydrodynamic...

Applicant: Liu et al.

Ser. #/Pat. #: 09/55,453

Filing Date: April 25, 2000

Please acknowledge receipt of:

- ☒ CPA Application
- ☒ Check No. 24638 in the Amount of \$740.00 for the Filing Fee
- ☒ Return Postcard

Mailed: February 12, 2002

By: Florence Thys-Doucet

EL585705262US

OCT 11 2002

FROM OPPENHEIMER - L.A.

(FRI) 10.11'02 9:06/ 9:04/NO. 4860087512 P 4

OPPENHEIMER

OPPENHEIMER & COMPANY
1975 Angel Ave. Los Angeles, CA 90012

Date 02/12/02

Net Amount

740.00

ONE HUNDRED FORTY AND 00/100 DOLLARS

COMMISSIONER OF PATENTS
AND TRADEMARKS

Bank

⑈024638⑈ ⑆091000022⑆ 173102131377⑈


CHECK NO. 024638

OUR REF #	INVOICE DATE	YOUR INVOICE NO.	DESCRIPTION	NET CK. AMOUNT
376541	02-12-02	015929-18	CPA FILING FEE	740.00

CHECK NO. 024638

OUR REF #	INVOICE DATE	YOUR INVOICE NO.	DESCRIPTION	NET CK. AMOUNT
376541	02-12-02	015929-18	CPA FILING FEE	740.00

PAID
OCT 11 2002
RECEIVED

Please type a plus sign (+) inside this 

PTO/SB/29 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**CONTINUED PROSECUTION APPLICATION (CPA)
REQUEST TRANSMITTAL**

Submit an original, and a duplicate for fee processing.

(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX, if applicable:

☐ DUPLICATE

Address to:

Assistant Commissioner for Patents
Box CPA
Washington, DC 20231

Attorney Docket No.

15939-18

First Named Inventor

Liu

Express Mail Label No.

EL585705262US

Total Pages

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d).(continued prosecution application (CPA)) of prior application number 09/558,453filed on 4/25/2000, entitled Spindle Motor With an Aerodynamic and Hydrodynamic Bearing Assembly**NOTES**

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b) and filed on or after June 8, 1995, or (2) the national stage of an international application in compliance with 35 U.S.C. 371 and filed on or after June 8, 1995.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

1. ☒ Enter the unentered amendment previously filed on Amendment was mailed 12/19/01 but not stamped at the Patent Office Mailroom until January 18, 2002 and is referred to in an advisory action as Reply Filed 1/18/02 under 37 CFR 1.116 in the prior nonprovisional application.

2. ☐ A preliminary amendment is enclosed.

3. This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).

a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:

b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.

5. Information Disclosure Statement (IDS) is enclosed:

a. ☐ PTO-1449

b. ☐ Copies of IDS Citations

OCT 11 2002

Please type a plus sign (+) inside this box → **+**

PTO/SB/29 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c))	7	XX - 20 =		x \$	= \$740.00
INDEPENDENT CLAIMS (37 CFR 1.16(d))	1	XX - 3 =		x \$	= \$
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				x \$	= \$
				BASIC FEE (37 CFR 1.16(e))	\$740.00
				Total of above Calculations =	\$740.00
Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).					\$
				TOTAL =	\$740.00

6. Small entity status:

- a. ☐ A small entity statement is enclosed.
b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
c. ☐ is no longer claimed.

7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 16-2230:

- a. ☒ Fees required under 37 CFR 1.16.
b. ☒ Fees required under 37 CFR 1.17.
c. ☒ Fees required under 37 CFR 1.18.

8. ☒ A check in the amount of \$740.00 is enclosed.
9. ☐ Other: _____

NOTE:

The prior application's correspondence address will carry over to this CPA
UNLESS a new correspondence address is provided below.

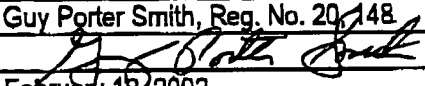
10. NEW CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☐ New correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME			
ADDRESS			
CITY	STATE	ZIP CODE	
COUNTRY	TELEPHONE	FAX	

11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Guy Porter Smith, Reg. No. 20,148
SIGNATURE	
DATE	February 12, 2002

703 308 6916

*** RX REPORT ***

RECEPTION OK

TX/RX NO	8413
CONNECTION TEL	310 277 1297
CONNECTION ID	
START TIME	10/11(FR)11:57
USAGE TIME	02'25"
PGS.	6
RESULT	OK